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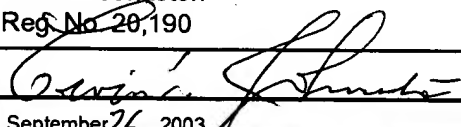
DA434  
PTO/SB/21 REV 1 (12/97)

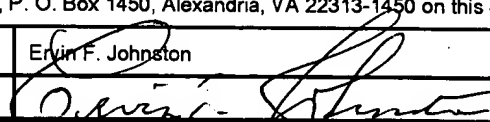
Approved for use through 09/30/2000. omb 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/721,851	
	Filing Date	11/24/2000	
	First Named Inventor	Lin et al	
	Examiner Name	Paul Kim	
	Group Art Unit	3729	
Total Number of Pages in This Submission	5 + postcard	Attorney Docket Number	SJO919980026US2

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment w/Recordation Sheet	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Response to Restriction Requirement	<input type="checkbox"/> Request for Drawing Amendment	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Preliminary Amendment	<input type="checkbox"/> Petition Checklist and Accompanying Petition	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> To Convert a Provisional Application	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Power of Attorney, Revocation	<u>POSTCARD</u>
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Change of Correspondence Address	<u>APPLICATION FOR PATENT TERM</u>
<input type="checkbox"/> PTO Form 1449	<input type="checkbox"/> Terminal Disclaimer	<u>ADJUSTMENT UNDER 37 CFR 1.705(b)</u>
<input type="checkbox"/> ( ) cited references		
<input type="checkbox"/> Certified Copy of Priority Document(s)		
<input type="checkbox"/> Response to Missing Parts/Incomplete Application		
<input type="checkbox"/> PTO Form 1533		
<input type="checkbox"/> Response to Missing Parts Under 37 CFR 1.52 or 1.53		
<b>Remarks:</b>		
<div>RECEIVED OCT 07 2003 OFFICE OF PETITIONS</div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual Name	Ervin F. Johnston Reg. No. 20,190
Signature	
Date	September 26, 2003

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on this date: <u>September 26, 2003</u>			
Typed or printed name	Ervin F. Johnston		
Signature		Date	September 26, 2003

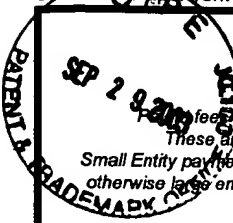
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PTO/SB/17 REV 1 (12/97)

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## FEE TRANSMITTAL

These fees are subject to annual revision on October 1.  
These are the fees effective November 10, 1998.

Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12  
See 37 C.F.R. §§ 1.27 and 1.28

TOTAL AMOUNT OF PAYMENT (\$200.00)

### METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number 09-0442  
Deposit Account Name International Business Machines Corp.

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance. 37 CFR 1.311(b)

2. ☐ Payment Enclosed:  
☐ Check ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Code (\$)	Code (\$)		
101 750	201 375	Utility filing fee	
106 330	206 165	Design filing fee	
107 520	207 260	Plant filing fee	
108 750	208 375	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$)

#### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
_____ - 20** = _____	x 18	= _____	
Independent Claims _____ - 3** = _____	x 84	= _____	
Multiple Dependent Claims _____	x _____	= _____	

\*\* or number previously paid, if greater; For Reissues, see below

Large Entity	Small Entity	Fee Description	Fee Paid
Code (\$)	Code (\$)		
103 18	203 9	Claims in excess of 20	
102 84	202 42	Independent claims in excess of 3	
104 280	204 140	Multiple dependent claim	
109 80	209 40	** Reissue independent claims over original patent	
110 18	210 9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

### Complete If Known

Application Number 09721,851

Filing Date 11/24/2000

First Named Inventor T. Lin et al

Examiner Name Paul D. Kim

Group / Art Unit 3729

Attorney Docket No. SJO919980026US2

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Code (\$)	Code (\$)		
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for response within first month	
116 410	216 205	Extension for response within second month	
117 930	217 465	Extension for response within third month	
118 1,450	218 725	Extension for response within fourth month	
128 1,970	228 985	Extension for response within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive unavoidably abandoned application	
141 1,300	241 650	Petition to revive unintentionally abandoned application	
142 1,300	242 650	Utility issue fee (or reissue)	
143 470	243 235	Design issue fee	
144 630	315 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
126 180	126 180	Submission of Information Disclosure Stmt	
146 750	246 375	Filing a submission after final rejection (37 CFR 1.129(a))	
179 750	249 375	Request for continued examination (RCE) (37 CFR 1.114)	

Other fee (specify) Terminal Disclaimer  
Other fee (specify) Patent Term Adjustment 200.00

SUBTOTAL (3) (\$) 200.00

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\*Reduced by Basic Filing Fee Paid

### SUBMITTED BY

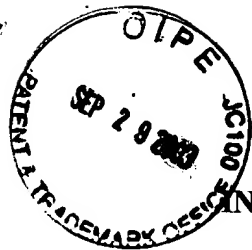
Typed or Printed Name Ervin F. Johnston

Signature

### COMPLETE (if applicable)

Reg. Number 20,190

Date September 26, 2003



#10

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Divisional Application of:

T. Lin et al

Serial No.: 09/721,851

Filed: 11/24/2000

FOR: METHOD OF MAKING A SPIN VALVE  
READ HEAD WITH ANTIFERRO-  
MAGNETIC OXIDE FILM AS  
LONGITUDINAL BIAS LAYER AND  
PORTION OF FIRST READ GAP

Group Art Unit: 3729

Examiner: Paul D. Kim

Attorney Docket SJO919980026US2

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

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Sir:

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**APPLICATION FOR PATENT TERM ADJUSTMENT UNDER 37 CFR 1.705(b)**

A fee for \$200.00 under 37 CFR 1.18(e) accompanies this application.

The patent term adjustment should be 397 days instead of 157 days as set forth in a "Notice of Allowance and Fee(s) Due" dated 08/25/2003.

The above identified patent application was filed 11/24/2000 and the first notification received by the Applicant was in the form of an Office Action mailed by the USPTO on 02/25/2003. After allowing for the 14 months grace period the first notification was 397 days late.

The Applicant suspects that the USPTO may have mailed a notification prior to the above-identified first notification to a wrong address. Please see Exhibit A which is a change of address received by the USPTO on 05/29/2001.

The above identified patent application is not subject to a terminal disclaimer and there are no circumstances instituting a failure to engage in reasonable effort to conclude processing or examination of the patent application under 37 CFR 1.704.

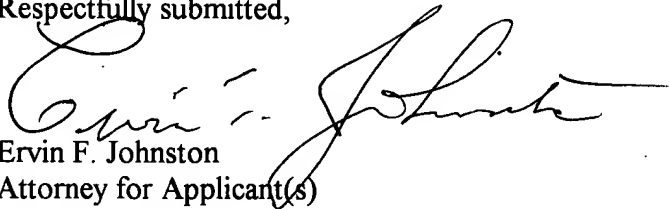
The Applicant requests that the USPTO grant this application. The Applicant further requests that the \$200.00 fee be waived should the USPTO confirm that an Office Action prior to the above-identified first notification was mailed by the USPTO to a wrong address.

10/03/2003 MAHME2 00000132 090442 09721851

01 FC:1455 200.00 DA

Should there be any questions regarding this document please contact the undersigned at 619-334-5883.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Ervin F. Johnston", written in black ink.

Ervin F. Johnston

Attorney for Applicant(s)

Reg. No. 20,190

Telephone: (619) 334-5883

Fax: (619) 448-1904